# Project Proposal: Parallel Union-find Group members: Zhaowei Zhang, Eric Zhu

Project Website: https://pentene.github.io/parallel-union-find/

#### Summary

In this project, we will explore different lock techniques of the Union-find Data Structure, specifically coarse-grained lock, fine-grained lock, and lock-free along with Union-Find specific optimizations (path compression, union by rank). We aim to benchmark these implementations rigorously, analyzing their performance characteristics and scalability compared to the serialized version. Finally, we plan to test our implementation in different graph algorithms to further analyze its performance in real-world applications.

#### Background

The Union-Find data structure is essential in applications that require efficient detection of connectivity, such as clustering, graph connectivity, and image segmentation. The two fundamental operations are **find** and **union**. Parallelizing these operations requires careful handling of concurrent access to shared memory structures, along with optimizations such as path compression and union by rank. Below is a high-level pseudocode with optimizations:

Algorithm 1 Union-Find (Disjoint Set) Implementation

```
1: function FIND(x)
        if parent[x] \neq x then
 2:
           parent[x] \leftarrow Find(parent[x])
                                                                                  \triangleright Path compression
 3:
 4:
        end if
        return parent[x]
 5:
 6: end function
 7: function UNION(a, b)
       rootA \leftarrow Find(a)
 8:
 9:
       rootB \leftarrow Find(b)
        if rootA \neq rootB then
10:
           if rank[rootA] < rank[rootB] then
11:
               parent[rootA] \leftarrow rootB
12:
           else
13:
               parent[rootB] \leftarrow rootA
14:
               if rank[rootA] = rank[rootB] then
15:
                   rank[rootA] \leftarrow rank[rootA] + 1
16:
17:
                end if
           end if
18:
        end if
19:
20: end function
```

Parallelism combined with these optimizations significantly enhances performance for large-scale sets. Efficient synchronization must be paired with effective optimizations to achieve peak performance.

## Goals and Deliverables

Here are our planned goals:

- Implement Multiple parallel implementations on CPU with OpenMP using:
  - Coarse-grained locking
  - Fine-grained locking
  - Lock-free synchronization
- Implementation of Union-Find optimizations (path compression, union by rank) along with synchronization methods
- Measure and compare throughput, latency, and scalability of each synchronization and optimization method on CPU under diverse workloads, including:
  - Varying graph sizes and densities (sparse, dense)
  - Different access patterns (find-heavy, union-heavy workloads)
  - Real-world datasets

Here are our aspirational goals:

- Investigate dynamic selection of locking vs. lock-free approaches depending on work-load size or distribution.
- Demonstrate parallel Union-Find performance improvements in practical applications such as image segmentation or network analysis.

Here are our fallback goals:

- Focus on fewer synchronization methods and optimizations with a thorough performance analysis.
- Identify the potential bottlenecks in the parallel algorithms.

### Challenges

Parallelizing and optimizing Union-Find using OpenMP on CPUs presents several significant challenges, driven primarily by workload characteristics, synchronization complexity, and architectural constraints.

- Workload Characteristics: While many find and union operations can run concurrently, conflicts can arise when multiple threads simultaneously update shared structures (parent and rank arrays). Proper synchronization methods must mitigate these conflicts without severely limiting concurrency. And this is one important field we will be testing on.
- Memory access characteristics: Union-Find operations, especially with path compression, lead to irregular memory access patterns. These irregular accesses result in scattered memory reads and writes, limiting cache performance and reducing locality.

- Communication-to-Computation ratio: Despite the simplicity of union-find computations, synchronization overhead can dominate performance, particularly with frequent concurrent updates.
- Divergent execution: The pointer-chasing nature of Union-Find, particularly path compression, inherently generates unpredictable branching and memory access, complicating optimization and efficient parallel execution on CPUs.

By addressing these challenges specifically within the context of OpenMP, we aim to deepen our understanding of parallel programming principles, synchronization mechanisms, and efficient optimization strategies tailored for shared-memory multicore systems.

### Resources

Implementation and benchmarking will be performed primarily on GHC lab machines and Pittsburgh Supercomputing Center (PSC) resources. PSC machines offer robust CPU capabilities for detailed performance profiling and large-scale experimentation.

Here is a list of references:

• Fedorov, A., Hashemi, D., Nadiradze, G., and Alistarh, D. (2023). Provably-efficient and internally-deterministic parallel union-find. In Proceedings of the 35th ACM Symposium on Parallelism in Algorithms and Architectures (SPAA '23), Orlando, FL, USA, June 17–19, 2023. Association for Computing Machinery.

https://doi.org/10.1145/3558481.3591082

• Anderson, R. J., and Woll, H. (1991). Wait-free parallel algorithms for the union-find problem. In Proceedings of the 23rd Annual ACM Symposium on Theory of Computing (STOC '91), pp. 370–380. Association for Computing Machinery.

https://doi.org/10.1145/103418.103458

## Platform Choice

The GHC lab machines and PSC machines provide multi-core CPUs resources suitable for our parallel implementations. GHC machines will facilitate development and initial testing, while the powerful CPU systems at PSC will enable detailed performance profiling and large-scale experimentation.

## Schedule (Tentative)

Week	Planned Activities
3.26 - 4.2	Update Proposal
4.2 - 4.9	Implement serial Union-Find and coarse-grained locks.
	Design and generate different workloads.
4.9 - 4.15	Implement fine-grained locks and lock-free Union-Find.
	Evaluate CPU scalability under diverse workloads.
4.15 - 4.23	Finish the implementations.
	Test on real-world workloads and analyze performance.
4.23 - 4.28	Finalize benchmarking across all implementations.
	Analyze trade-offs of locking strategies
	across workloads. Generate figures for performance comparisons.
4.29	Poster session.